

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/454,135

12/03/99

KATAOKA

S

3688ME-25

0242/0119

JOSEPH E KOVARIK ESQ  
SHERIDAN ROSS  
1560 BROADWAY  
SUITE 1200  
DENVER CO 80202-5141

NOT ASSIGNED

0000

DATE MAILED:

01/19/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1020.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☒ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

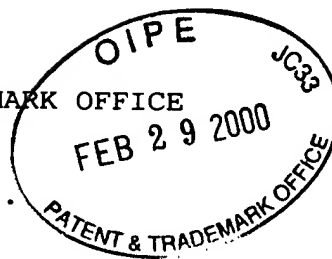
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

W. Bell  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

03/03/2000 TLUB11 0000062 09454135  
760.00  
130.00  
130.00  
FC:101  
FC:105  
FC:133

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of: S.KATAOKA et al.

Serial No.: 09/454,135

Group No.

Filed: December 3, 1999 Examiner:

for: APPARATUS FOR LIGHTING FLUORESCENT LAMP

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

#### VERIFICATION OF A TRANSLATION

I, the below named translator, hereby declare that:  
My name and post office address are as stated below.  
I am knowledgeable in the English language and in the Japanese language.

I believe the attached English translation to be a true and complete literal translation of the page Japanese language patent application text with sheets of drawings entitled "APPARATUS FOR LIGHTING FLUORESCENT LAMP" by Shinichiro KATAOKA, Yo NAKAGAWA, Masanori YAMANAKA and Hiroki MATSUNAGA. This Japanese language document was filed in the United States Patent and Trademark Office on December 3, 1999.

I hereby declare that all statements made herein of my own knowledge are true and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: February 16, 2000

Full name of the translator Yoshio KUCHINO

Signature of the translator Yo. Kuchino

Post Office Address 4-9, Sato 7-chome, Otsu City,

520-2276 Japan

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In Re the Application of:

KATAOKA et al

Serial No.: 09/454,135

Filed: December 3, 1999

Atty. File No.: 3688ME-25

For: "APPARATUS FOR LIGHTING  
FLUORESCENT LAMP"

Assistant Commissioner for Patents  
Washington, D.C. 20231



SUBMISSION OF VERIFIED ENGLISH  
TRANSLATION

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO THE ASSISTANT COMMISSIONER OF  
PATENTS, WASHINGTON, DC 20231 ON 2/23/00.

SHERIDAN ROSS P.C.

BY: Brenda Carpenter

Dear Sir:

In connection with the filing of the above-referenced patent application, enclosed please find a verified English translation of the same. Also enclosed is a check in the amount of \$130.00 to cover the fee set out in 37 C.F.R. § 1.17(b). Please credit any overpayment or debit any underpayment to Deposit Account No. 19-1970. Please feel free to contact the undersigned should you have any questions regarding this matter.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: Joseph E. Kovarik

Joseph E. Kovarik

Registration No. 33,005

1560 Broadway, Suite 1200

Denver, Colorado 80202-5141

(303) 863-9700

Date: 2/23/00

Section #  
#3

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

KATAOKA et al.

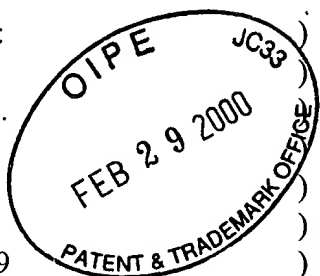
Serial No.: 09/454,135

Filed: December 3, 1999

Atty. File No.: 3688ME-25

For: "APPARATUS FOR LIGHTING  
FLUORESCENT LAMP"

Assistant Commissioner For Patents  
Box Missing Parts  
Washington, D.C. 20231



SUBMISSION OF MISSING  
PARTS

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, DC 20231 ON 2/23/00,  
2000.

SHERIDAN ROSS P.C.

BY: Bender Carpenter

Dear Sir:

In response to the Notice to File Missing Parts dated January 19, 2000, in connection with the above-identified application, enclosed herewith please find a combined Declaration and Power of Attorney. Please note that the Assignment documents have been submitted to the Assignment Branch under separate cover on this same date.

Also enclosed please find a check for \$890.00 which includes payment of the filing fee in the amount of \$760.00 reflecting large entity status, and the amount of \$130.00 payment of the surcharge. Please credit any overpayment or debit any underpayment to Deposit Account No. 19-1970. A copy of the Notice to File Missing Parts is also enclosed.

Please address all future correspondence to the undersigned attorney at the address below.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: 

Joseph E. Kovarik

Registration No. 33,005

1560 Broadway, Suite 1200

Denver, Colorado 80202-5141

(303) 863-9700

Date: 2/23/00